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Laura Martinez

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/691,033

Confirmation No.: 7549

**Applicant** 

Zongqin Xia, et al. : October 21, 2003

Art Unit

Filed

Examiner

Susan D. Coe (in parent application)

Title

SMILAGENIN AND ITS USE

Docket No.:

: HASEL-65949

February 24, 2004

Customer No.

: 24201

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to the duty of disclosure and 37 C.F.R. § 1.97(b), enclosed is Form PTO SB008A, listing art which may be material to patentability of the invention, together with copies of references listed therein. Applicant notes that the disclosed patent, U.S. Patent No. 6,593,301, is the now-issued U.S. National Stage version of International Application PCT/CN98/00214 (Publication No. WO 99/16786), which applicant previously disclosed in the Information Disclosure Statement dated November 5, 2003.

This paper should not be construed as a representation that a search was conducted or that the references listed are material to patentability.

Additionally, pursuant to Applicant's duty under the recent case of *Dayco Products*. *Inc.* v. Total Containment Inc., 66 U.S.P.Q.2d 1801, 329 F.3d 1358 (Fed. Cir. 2003) Applicant brings to the Examiner's attention the status of the following cases which contain subject matter that could be considered similar to the subject of the present application:

Attorney Docket No. Serial Number		STATUS	
380048-77	09/362,328 filed 7/28/99	Granted 7/10/01 as U.S. Patent No. 6,258,386	
380048-86	09/647,110 filed 1/11/01 - 371 of PCT/GB99/00951 filed 3/26/99	Abandoned - All claims rejected.	
380048-87	09/647,128 filed 1/11/01 - 371 of PCT/GB99/00960 filed 3/26/99	Abandoned – All claims rejected.	
380048 - 92	09/866;234 filed 5/25/01 Div. of 09/362,328 filed 7/28/99 USP 6,258,386	Abandoned – All claims rejected.	
380048-94 (FPLU Ref. HASEL-65749)	10/077,493 filed 02/15/2002, which is a Cont. of 09/647,110 filed 01/11/01 Abandoned	Pending – Various claims allowable	
380048-95 (FPLU Ref. HASEL-95933)	10/228,153 filed 8/26/02 Cont. of 09/866,234 filed 5/25/01 which is Div. of 09/362,328 filed 7/28/99 USP 6,258,386	Abandoned – all claims rejected.	
380048-96 (FPLU Ref. HASEL-65934)	10/336,176 filed 1/3/03 Cont. of 09/647,128 filed 1/11/01 Abandoned	Pending – Awaiting 1 <sup>st</sup> Office Action.	

Serial No. 10/691,033

The above cases were previously disclosed in a prior IDS, but are being repeated here for the sake of completeness.

Additionally, applicant discloses the following additional cases which are being handled by a different law firm, but which contain subject matter which could be considered similar to the subject matter of the present application.

Attorney Haseltine Lake Reference	Serial Number	STATUS
P73395USPC	10/109,095 371 of WO-A-01/23406	Pending
P73396USPC	10/109,204 371 of WO-A-01/23407	Pending
P73397USPC	10/108,737 371 of WO-A-01/23408	Pending
P74076USPC	10/189,024 371 of WO-A-01/49703	Pending
P78629USPC	(Not yet known) 371 of WO-A-02/079221	Pending

This Supplemental Information Disclosure Statement is being submitted prior to issuance of the first Office Action on the merits. Accordingly, no fees are seen as being necessary in connection with this paper. However, if any fees are due the Commissioner is authorized to charge such fees to Deposit Account No. 06-2425.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

DATED: February 24, 2004

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Registration No. 37,957

JDV:me

PTO/SB/08a (05-03)
Approved for use through 04/30/2003, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known				
Application Number	10/691,033			
Filing Date	October 21, 2003			
First Named Inventor	Zongqin Xia			
Art Unit	1651			
Examiner Name	Unassigned			
Attorney Docket Number	HASEL-65949			

			U.S. PAT	ENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Initials	No.1	Number Kind Code (if known)	MM-DD-YYYY	Applicant of Cited Document	
		US- 6,593,301	07/15/03	Ma et al.	
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		US-			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
		Office <sup>3</sup>	Number <sup>4</sup>	Kind⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	December of Delevent	Τe
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Examiner	Date
Signature	Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (option<sub>al</sub>). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.